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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/853,045	05/09/2001	Shoji Kawamura	OOCL-60 (US-P1442)

CONFIRMATION NO. 5075

FORMALITIES LETTER



OC000000006296386

STRAUB & POKOTYLO
Bldg. 6
Suite 83
1 Bethany Road
Hazlet, NJ 07730

Date Mailed: 07/13/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

Attorney Docket No.: OOCL-60 (US-P1442)

Applicants: Shoji KAWAMURA, Azuma MIYAZAWA

Serial No.: 09/853,045

Filing Date: May 9, 2001

Title: PRINTER DEVICE

Examiner: Not yet assigned

Group Art Unit: 2852

Assistant Commissioner for Patents
BOX MISSING PARTS
Washington, D.C. 20231

Sir:

MISSING PARTS TRANSMITTAL

In response to a "Notice to File Missing Parts of Application" (PTO-1533) mailed on July 13, 2001 and setting a time for response to expire on September 13, 2001, in connection with the above-captioned patent application, applicants enclose the following:

- ☒ Postcard receipt;
- ☐ **Assertion of Small Entity Status -**
Small Entity Status is hereby claimed;
- ☒ Executed Declaration and Power of Attorney (3 pgs.);
- ☐ Copy of application as filed;
- ☐ English translation document;
- ☒ Assignment with Recordation Form Cover Sheet
(in duplicate) to Olympus Optical Co., Ltd. (4 pgs.);
- ☐ Information Disclosure Statement, modified PTO-1449,
and (0) cited reference(s);
- ☒ Copy of PTO-1533 (Notice to File Missing Parts)
issued in connection with this application;

- ☒ A claim to priority (included in declaration);
- ☐ Submission of Priority Document and Certified copy of _____ Application No. _____, filed _____; and
- ☒ Our check in the amount of \$ 880.00 to cover the basic filing fee of \$ 710.00, the surcharge under 37 CFR 1.16(e) in the amount of \$ 130.00 for late filing of the declaration, and an assignment recordation fee of \$ 40.00.

In the event the enclosed check is unacceptable, insufficient or is omitted, kindly charge the additional or entire cost, as appropriate, to the deposit account of **STRAUB & POKOTYLO**, deposit account number 50-1049. To facilitate that charge, a duplicate copy of this letter is enclosed herewith.

Respectfully submitted,

August 8, 2001

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CERTIFICATE OF MAILING under 37 C.F.R. 1.8(a)

I hereby certify that this correspondence is being deposited on **August 8, 2001** with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to the Assistant Commissioner for Patents, **Box Missing Parts**, Washington, D.C. 20231.

John C. Pokotylo